



GP1774

19036/37126
PATENT#6
1-402IN THE UNITED STATES PATENT
AND TRADEMARK OFFICE

Applicant(s):) I hereby certify that this paper
YUTAKA MINEO) is being deposited with the
Serial No.: 09/786,565) United States Postal Service
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BACKLIGHT UNIT USING THIS) addressed to: Commissioner
Group Art Unit: 1774) for Patents, Washington, D.C.
Examiner: Unknown) 20231 on this date below:
) October 29, 2001
) Date
) Nate F. Scarpelli
) Nate F. Scarpelli
) Registration No. 22,320

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

RECEIVED

Commissioner for Patents
Washington, D.C. 20231

DEC 17 2001

TC 1700

Sir:

The patents and/or publications listed on the enclosed PTO Form-1449 are submitted pursuant to 37 CFR §§ 1.56, 1.97, and 1.98. Copies of the patents or publications are enclosed as necessary.

TIME OF FILING

This information disclosure statement is being filed:

- ☒ 1. with the application or within three months of the filing date of the application or date of entry into the national stage of an international application or, to the best of the undersigned's knowledge, before the mailing date of a first Office Action on the merits, whichever event occurs last. In accordance with 37 CFR §1.97(b), no certification or fee is required.

- ☐ 2. after the time period specified in paragraph 1 above, but, to the best of the undersigned's knowledge, before the mailing date of a final action under 37 CFR § 1.113 or notice of allowance under 37 CFR § 1.311. Therefore, in accordance with 37 CFR § 1.97(c), submitted herewith is:

(check either A or B below)

- A. ☐ a certification as specified in 37 CFR § 1.97(e).
B. ☐ the fee set forth in 37 CFR § 1.17(p) for submission of an information disclosure statement under 37 CFR § 1.97(c).

- ☐ 3. after the mailing date of either a final action under 37 CFR § 1.113 or a notice of allowance under 37 CFR § 1.311, whichever occurs first, but before payment of the issue fee. Therefore, Applicant submits herewith:

- A. a certification as specified in 37 CFR § 1.97(e);
B. the petition fee set forth in 37 CFR § 1.17(i); and
C. the accompanying petition under 37 CFR § 1.97(d).

CERTIFICATION

(only used if No. 2 or No. 3 above is checked)

The person(s) signing below certify

(check appropriate paragraph)

- ☒ that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement. 37 CFR § 1.97(e)(1).

OR

- ☐ that no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in 37 CFR § 1.56(c) more than three months prior to the filing of this statement. 37 CFR § 1.97(e)(2).

PETITION

(only used if No. 3 above is checked)

☐ Applicant(s) hereby petition(s) pursuant to 37 C.F.R. § 1.97(d) that this Information Disclosure Statement, Form PTO-1449, and document(s) identified therein be considered.

METHOD OF PAYMENT

- ☒ No fee is required.
- ☐ Attached is a check in the amount of \$0.00.

The Commissioner is authorized to charge any fee deficiency required by this paper, or credit any overpayment, to Deposit Account No. 13-2855. A copy of this paper is enclosed.


STATEMENT

The enclosed references were cited by the Japanese Patent Office in a counterpart JP application as a result of an Opposition filed by a third party after grant of the counterpart JP application.

Respectfully submitted,

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By:


Nate F. Scarpelli
Reg. No: 22,320

October 29, 2001